

W-9 (Request for Taxpayer Identification Number and Certification)

※外国証券取引口座を開設される日本居住米国国籍保有のお客様から一律にご提出いただいております。
 ※住所・氏名をご変更される場合は、再度ご提出が必要となります。

- 全項目を単一の黒の油性ボールペンでご記入ください。
- 全て英語でご記入ください。
- sign(サイン)**以外は必ずブロック体またはタイプでご記入ください。
- 修正液の使用・訂正線は使用できません。

Name
氏名をご記入ください。

Check appropriate box for federal tax
該当する「」欄へチェックしてください。

・個人は
“Individual/
sole proprietor
or
single-member LLC”

・法人(一般的な事業法人)は
“C Corporation”
を選択してください。

Sign Here
サイン及び日付をご記入ください。

Form W-9
(Rev. October 2018)
Department of the Treasury
Internal Revenue Service

**Request for Taxpayer
Identification Number and Certification**

▶ Go to www.irs.gov/FormW9 for instructions and the latest information.

**Give Form to the
requester. Do not
send to the IRS.**

1 Name (as shown on your income tax return). Name is required on this line; do not leave this line blank.
 John Smith

2 Business name/disregarded entity name, if different from above

3 Check appropriate box for federal tax classification of the person whose name is entered on line 1. Check only one of the following seven boxes.

Individual/sole proprietor or single-member LLC

C Corporation

S Corporation

Partnership

Trust/estate

Limited liability company. Enter the tax classification (C=C corporation, S=S corporation, P=Partnership) ▶

Other (see instructions) ▶

4 Exemptions (codes apply only to certain entities; not individuals; see instructions on page 9)

Exempt payee code (if any) _____

Exemption from FATCA reporting code (if any) _____

(Applies to accounts maintained outside the U.S.)

5 Address (number, street, and apt. or suite no.) See instructions.
 9-1 MARUNOUCHI 1CHOME, CHIYODA-KU

6 City, state, and ZIP code
 TOKYO, JAPAN 100-6752

7 List account number(s) here (optional)
 999-123456

II Taxpayer Identification Number (TIN)

your TIN in the appropriate box. The TIN provided must match the name given on line 1 to avoid backup withholding. For individuals, this is generally your social security number (SSN). However, for a partner, sole proprietor, or disregarded entity, see the instructions for Part I, later. For other entities, it is your employer identification number (EIN). If you do not have a number, see *How to get a TIN*.

If the account is in more than one name, see the instructions for line 1. Also see *What Name and Address To Give the Requester* for guidelines on whose number to enter.

Social security number
4 5 6 - 7 7 - 8 8 8 8
OR
Employer identification number
- - - - -

III Certification

I, the undersigned, certify that:

1. The number shown on this form is my correct taxpayer identification number (or I am waiting for a number to be issued to me); and

2. I am not subject to backup withholding because: (a) I am exempt from backup withholding, (b) I have not been notified by the Internal Revenue Service (IRS) that I am subject to backup withholding as a result of a failure to report all interest or dividends, or (c) the IRS has notified me that I am no longer subject to backup withholding; and

3. I am a U.S. citizen or other U.S. person (defined below); and

4. The FATCA code(s) entered on this form (if any) indicating that I am exempt from FATCA reporting is correct.

Certification instructions. You must cross out item 2 above if you have been notified by the IRS that you are currently subject to backup withholding because you have failed to report all interest and dividends on your tax return. For real estate transactions, item 2 does not apply. For mortgage interest paid, acquisition or abandonment of secured property, cancellation of debt, contributions to an individual retirement arrangement (IRA), and generally, payments other than interest and dividends, you are not required to sign the certification, but you must provide your correct TIN. See the instructions for Part II, later.

Signature of U.S. person → John Smith

Date → 11 30, 2018

General Instructions

Section references are to the Internal Revenue Code unless otherwise noted.

Future developments. For the latest information about developments related to Form W-9 and its instructions, such as legislation enacted after they were published, go to www.irs.gov/FormW9.

Purpose of Form

An individual or entity (Form W-9 requester) who is required to file an information return with the IRS must obtain your correct taxpayer identification number (TIN) which may be your social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN), to report on an information return the amount paid to you, or other amount reportable on an information return. Examples of information returns include, but are not limited to, the following.

- Form 1099-DIV (dividends, including those from stocks or mutual funds)
- Form 1099-MISC (various types of income, prizes, awards, or gross proceeds)
- Form 1099-B (stock or mutual fund sales and certain other transactions by brokers)
- Form 1099-S (proceeds from real estate transactions)
- Form 1099-K (merchant card and third party network transactions)
- Form 1098 (home mortgage interest), 1098-E (student loan interest), 1098-T (tuition)
- Form 1099-C (canceled debt)
- Form 1099-A (acquisition or abandonment of secured property)

Use Form W-9 only if you are a U.S. person (including a resident alien), to provide your correct TIN.

If you do not return Form W-9 to the requester with a TIN, you might be subject to backup withholding. See What is backup withholding, later.

Cat. No. 10231X Form **W-9** (Rev. 10-2018)

Address
番地・町名等をご記入ください。

City, state, and ZIP code
市区町村及び郵便番号、国名をご記入ください。

List account number(s) here (optional)
当社のお取引口座(取扱部店コード3桁、お客様コード6桁)をご記入ください。

Taxpayer Identification Number(TIN)
社会保障番号(SSN)または雇用者番号(EIN)のどちらかをご記入ください。

※ご記入方法がわからない場合は、取扱部店にお問合せください